



CF-46

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrew C. Gilbert  
Application No. : 10/042,371 Confirmation No. : 6761  
Filed : December 27, 2001  
For : SYSTEMS AND METHODS FOR CREATING AND  
TRADING DYNAMIC SECURITIES  
Group Art Unit : 2164  
Examiner : Not Yet Assigned

RECEIVED  
FEB 04 2003  
OFFICE OF PETITIONS

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, Virginia 22202

New York, New York 10020  
January 22, 2003

TRANSMITTAL LETTER

RECEIVED  
JAN 31 2003  
GROUP 3600

Sir:

Transmitted herewith: ☒ a Preliminary Amendment;  
☐ a Response to Examiner's Action; ☐ a Supplemental  
Amendment; ☒ a Declaration; ☒ a Power of Attorney; ☒ a  
Submission of Executed Declaration and Power of Attorney along  
with a copy of the specification as filed; ☐ an Associate  
Power of Attorney.

FEE FOR ADDITIONAL CLAIMS

☐ A fee for additional claims is not required.  
☒ A fee for additional claims is required.

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	47 -	29	* = 18 X	\$ 18	= \$ 324.00
INDEPENDENT CLAIMS	3 -	3	** = 0 X	\$ 84	= \$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$ 280	= \$ 0.00
* If less than 20, insert 20.				TOTAL	<u>\$ 324.00</u>
** If less than 3, insert 3.					

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ in payment of the filing fee.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ The following extension is applicable to the Response filed herewith; ☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$410.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$930.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1450.00 extension fee for

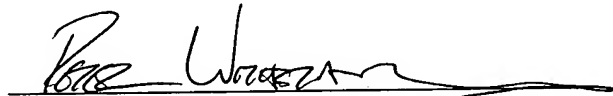
response within fourth month pursuant to 37 C.F.R.  
§ 1.136 (a); [ ] \$1970.00 extension fee for response  
within fifth month pursuant to 37 C.F.R. 1.136(a).

[ ] A check in the amount of [ ] \$110.00; [ ] \$410.00;  
[ ] \$930.00; [ ] \$1450.00; [ ] \$1970.00; in payment  
of the extension fee is transmitted herewith.

[ ] Please charge the [ ] \$110.00; [ ] \$410.00;  
[ ] \$930.00; [ ] \$1450.00; [ ] \$1970.00; extension  
fee to Deposit Account No. \_\_\_\_\_. A duplicate  
copy of this transmittal letter is transmitted  
herewith.

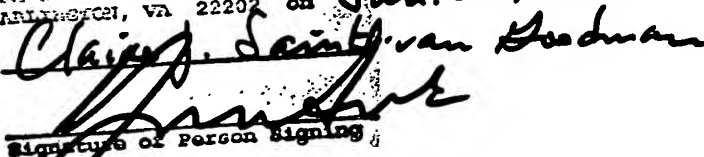
[X] The Director is hereby authorized to charge payment of  
any additional fees required under 37 C.F.R. § 1.17 in  
connection with the paper(s) transmitted herewith, or to  
credit any overpayment of same, to Deposit Account No.  
06-1075. A duplicate copy of this transmittal letter is  
transmitted herewith.

Respectfully submitted,



Peter Withstandley  
Registration No. P-53,784  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000  
Fax: (212) 596-9090

I hereby certify that this  
Correspondence is being  
deposited with the U.S.  
Postal Service as First  
Class Mail in an Envelope  
Indicated for  
Certification for  
Priority P.O. BOX 2327  
ARLINGTON, VA 22202 on Jan. 22, 2003

  
Signature of Person Signing